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Vidas, Arrett & Steinkraus	Atty. Docket No		O11.2B-11340-US01				
Utility Patent Applicati n	First Inventor		OHNO, Koji, et al.				
Transmittal	Title: POLISHING COMPOSITION						
	EL 992794992US						
Application Elements	Address To:		Stop Patent Application				
			missioner for Patents				
			Box 1450 andria, VA 22313-1450				
1. X Fee Transmittal Form		LVIEVE	Pages 1				
Fee Transmittal Form			1 ages 1				
Applicant claims small entity star	hus						
3. Specification (including -0-pg co	over sheet. 17 pa descriptio	n.	Pages 22				
4 pg claims and 1 pg abstract)	, , , , , , , , , , , , , , , , , , ,	•	· ·				
4. Drawings			Pages 1				
5. Oath or Declaration			Pages				
a. Newly executed (original o	r copy)						
b. Copy from a prior applicati	on (37 CFR 1.63(d)						
(for continuation/divisional i. ☐ Deletion of Inventor(s) – s			Pages				
deleting inventors named in the			, agoo				
6. Application Data Sheet	prior appriorition		Pages 3				
7. Assignment Papers (cover shee	t & documents and check)		Pages				
☐ Previously recorded on	, Reel , Frames		_				
8. Power of Attorney			Pages				
37 C.F.R. 3.73(b) Statement	(when there is an assignee	*)	Pages				
9. English Translation Document 10. Information Disclosure Statement	nt .		Pages Pages				
	rences)		i ages				
11. Preliminary Amendment	ichiecs)		Pages				
12. ☑ Return Receipt Postcard			Pages 1				
13. Certified Copy of Priority Docum	ent		Pages				
14. Nonpublication Request			Pages				
15. Constructive Petition	Pages 1						
16. ☐ Limited Authorization17. ☒ VAS Utility Patent Application To	Pages Pages 1						
17. ☑ VAS Utility Patent Application To 18. ☐ Other	Pages						
To: D other			. 4944				
19. If a CONTINUING APPLICATION, che	ck appropriate box, and su	pply the	e requisite information below and				
in a preliminary amendment or in an Applic			·				
☐ Continuation ☐ Divisional ☐ Cor	☐ Continuation ☐ Divisional ☐ Continuation-in-part Of prior application no.						
Prior Application Information: Examiner	Group Art Unit						
For CONTINUATION OR DIVISIONAL AP	PS only: The entire disclos	ure of t	he prior application, from an eath				
or declaration is supplied under Box 5b. is	considered a part of the dis	sclosur	e of the accompanying				
or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.							
20. TOTAL NUMBER OF PAGES 30							
21. CORRESPONDENCE ADDRESS							
A . N. I. 20/00							
Customer Number: 00490							
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INSERT CUSTOMER NUMBER LABEL ABOVE							
Name Scott Q. Vidas, Reg. No. 30,812							
Signature /			Date 9/30/2003				
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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Complete if Known Application Number Not Yet Assigned Concurrently Herewith Filing Date First Named Inventor OHNO, Koji, et al. Examiner Name Not Yet Assigned

					L'Adminici Ivanic			1101	110t Tot 11551gited				
Applicant claims small entity status. See CFR 1.27						FR 1.27	Art Unit			Not	Not Yet Assigned		
TOTAL AMOUNT OF PAYMENT (\$) 750.00						.00	Attorney Docket No. O11.2B-11340-US01						
METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)								
					3. ADDITIONAL FEES								
2					Large	Entity	Small	Entity					
Deposit Account:					Fee	Fee	Fee	Fee	Fee Description	Fee Paid			
Deposit A							Code	(\$)	Code	(S)	Surebarra lata Slina Sanash		
Deposit Account Name: Vidas, Arrett & Steinkraus				1051	130	2051	65	Surcharge-late filing fee oath					
The Commissioner is authorized to: (check all that apply)					1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet				
☐ Charg	e the fee(:	s) indicat	ed below	⊠ (Credit any ov	erpayments	1053	130	1053	130	Non-English specification		
_ •				g the pen	dency of this	application	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge fee(s) indicated below, except for the filing fee to the above- identified deposit account.					1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action				
FEE CALCULATION						- 10	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
1. BASI	C FIL IN	C FFF					1251	110	2251	55	Extension for reply within first month		
Large	Entity	Small	Entity				1252	410	2252	205	Extension for reply within second month		
Fee	Fee	Fee	Fee	Fee Des	cription	Fee Paid	1253	930	2253	465	Extension for reply within third month		
Code	(\$)	Code	(\$)				1254	1,450	2254	725	Extension for reply within fourth		
1001	750	2001	375	Utility f	iling fee	\$750.00	1255	1,970	2255	985	Extension for reply within fifth month		
1001	330	2002	165		filing fee		1401	320	2401	160	Notice of Appeal		
1003	520	2003	260	Plant fil			1402	320	2402	160	Filing a brief in support of an appeal		
1004	750	2004	375		filing fee		1403	280	2403	140	Request for oral hearing		
1005	160	2005	80	Provisio	nal filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding		
		•		SU	BTOTAL (1)	(\$)	1452	110	2452	55	Petition to revive - unavoidable		
2. EXT	RA CLA	IM FE	ES FOR	UTILI	TY AND R	EISSUE	1453	1,300	2453	650	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Claims Fee from below Fee Paid						Fee Paid	1501	1,300	2501	650	Utility issue fee (or reissue)		
Total Claims	15	-20**		X		= -0-	1502	470	2502	235	Design issue fee		
Independer Claims	nt 3	-3 ••	·=	T x	****	= -0-	1503	630	2503	315	Plant issue fee		
Multiple D	ependent	_				=	1460	130	1460	130	Petitions to the Commissioner		
Large	Entity	Small	Entity				1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Des	cription		1806	180	1806	180	Submission of Information Disclosure Stmt		
1202	18	2202	9	Claims	in excess of 20		8021	40	8021	40	Recording of each patent assignment per property (times number of properties)		
1201	84	2201	42	Independent claims in excess of 3			1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		
1203	280	2203	140	Multiple	Multiple dependent claim, if not paid			750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))		
1204	84	2204	42	** Reissue independent claims over original patent			1801	750	2801	375	Request for Continued Examination (RCE)		
1205	18	2205	9	** Reissue claims in excess of 20 and			1802	900	1802	900	Request for expedited examination of		

SUBTOTAL (3) (S) *Reduced by Basic Filing Fee Paid Complete (if applicable) SUBMITTED BY Telephone 952-563-3000 Registration No. 30,812 Name (Print/Type) Scott Q. Autorney/Agent) 09/30/2003 Signature

Other fee (specify)

SUBTOTAL (2) (\$) -0-

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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Docket No.: O11.2B-11340-US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

OHNO, Koji, et al.

Application No.:

Not Yet Assigned

Filed:

Concurrently Herewith

For:

POLISHING COMPOSITION

Examiner:

Not Yet Assigned

Group Art Unit:

Not Yet Assigned

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)

Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted, VIDAS, ARRETT & STEINKRAUS

Date: September 30, 2003

By:

Scott Q. Vidas, Reg. No. 30,812

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Facsimile: (952) 563-3001